

**BCS:**  
**Dissolution Testing as a Surrogate for  
BE Studies**



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# CONTENT OF PRESENTATION

- Relationship between RIVM and the MEB
- [BE as a proxy for efficacy & safety]
- BCS: dissolution testing as a proxy for BE
- FDA regulations on biowaivers
- EU regulations ob biowaivers
- Risk assessment of biowaiving



# Relationship between RIVM and the MEB



# Relationship between RIVM and the MEB

Ministry of Public Health  
RIVM

University  
Hospitals

↓ ↓  
pharm & tox  
assessment  
reports

↓  
clinical  
assessment  
reports

MEB



# Relationship between RIVM and the MEB

Ministry of Public Health  
RIVM

University  
Hospitals

Most countries

MEB

NL

MA

# Relationship between RIVM and the MEB

The MEB is :

- independent from the Minister of Public Health
  - **the Minister has no influence on decisions of MEB**
- independent from the assessors and vice-versa
  - **my views do not necessarily reflect the views of the MEB**



# Bioequivalence (BE) as a proxy for efficacy & safety





# BE as a proxy for efficacy & safety

s  
a  
f  
e

e  
f  
f

?

?

investigational drug

MA

drug on market



# BE as a proxy for efficacy & safety

s  
a  
f  
e

e  
f  
f

MA

investigational drug  
drug product

IDENTICAL product on  
market



# BE as a proxy for efficacy & safety

s  
a  
f  
e

e  
f  
f

s  
a  
f  
e

e  
f  
f

MA

investigational drug  
drug product

IDENTICAL product on  
market



# BE as a proxy for efficacy & safety

but in most cases the  
clinical batches and tox batches  
DIFFERENT to  
product for market!  
(capsules versus tablet, other excipients etc.)



# BE as a proxy for efficacy & safety

s  
a  
f  
e

e  
f  
f

?

?

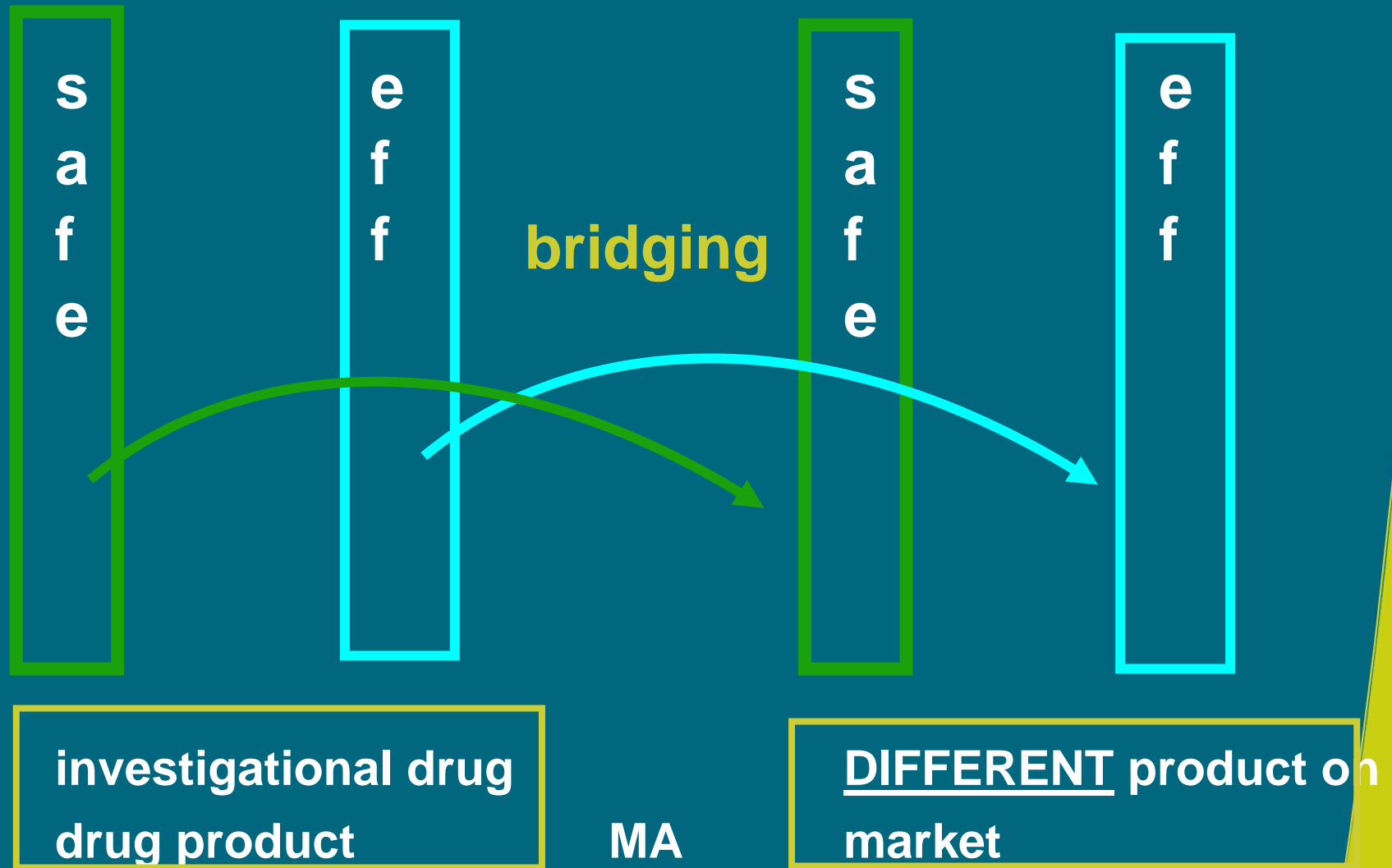
investigational drug

MA

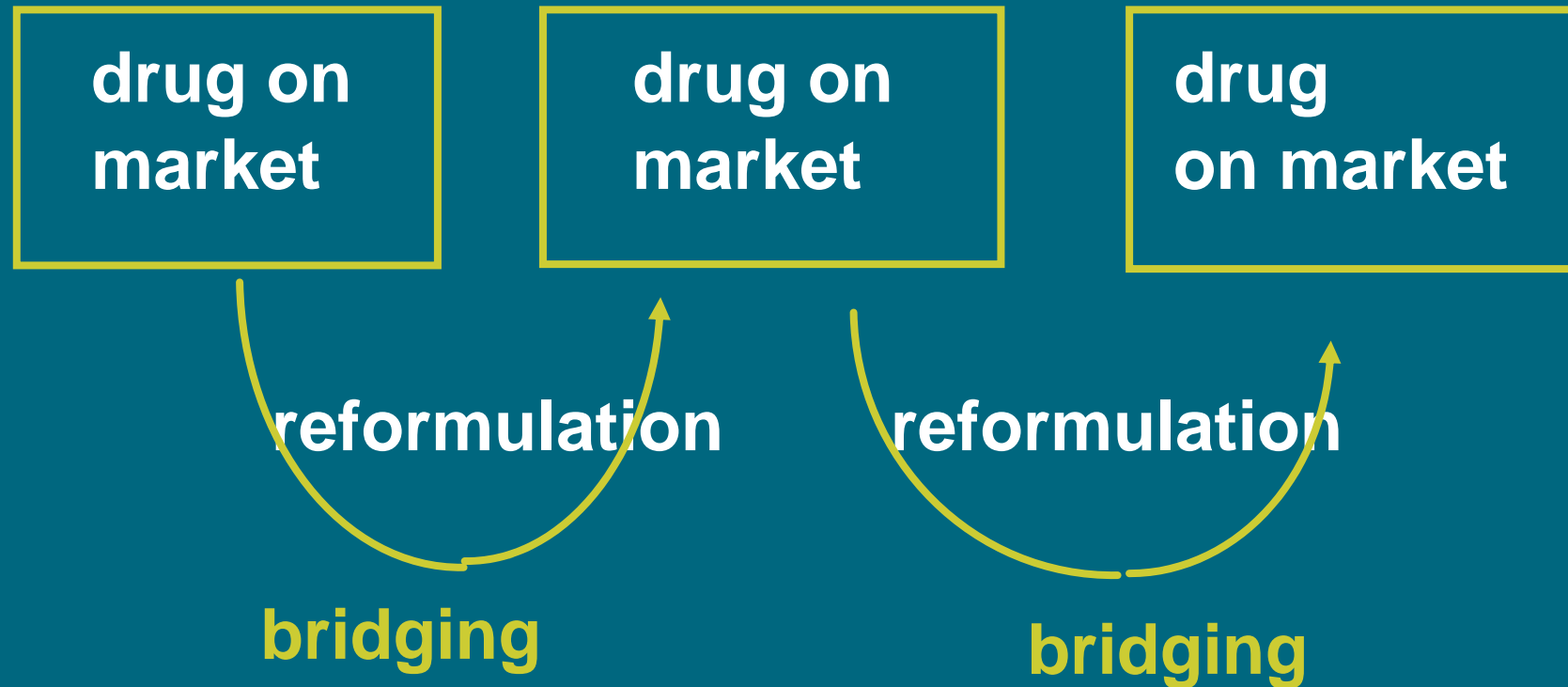
DIFFERENT  
drug on market



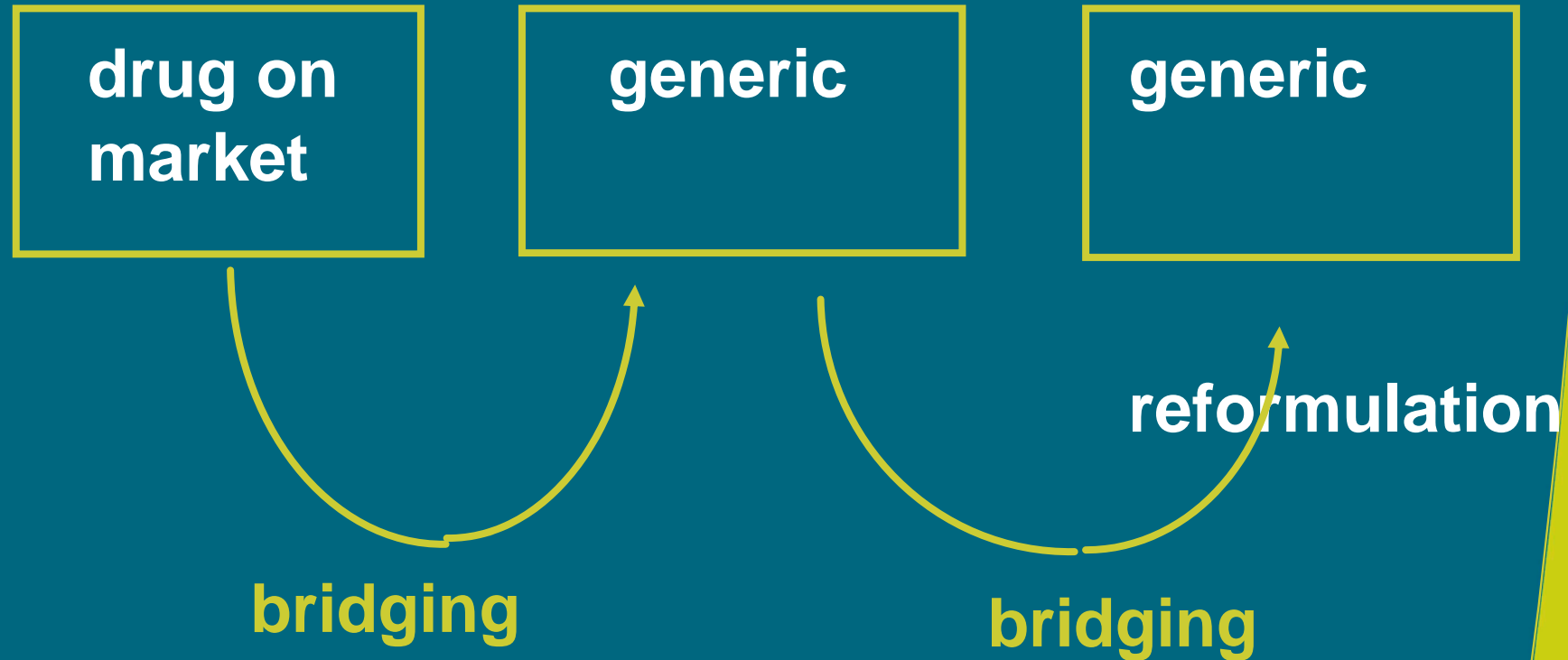
# BE as a proxy for efficacy & safety



# BE as a proxy for efficacy & safety



# BE as a proxy for efficacy & safety





## BE as a proxy for efficacy & safety

- Bridging normally by BE
- BE is a surrogate technique to demonstrate efficacy & safety
  - in other words:
- BE is a PROXY for efficacy & safety



# BE as a proxy for efficacy & safety

## – Often mixing up:

- BE = intrinsic property of drug
- BE study

## – Compare difference between:

- content of active ingredient of a tablet
- active ingredient assay in tablet



# BE as a proxy for efficacy & safety

**Sometimes:**

**BE (intrinsic property)**

**can be demonstrated**

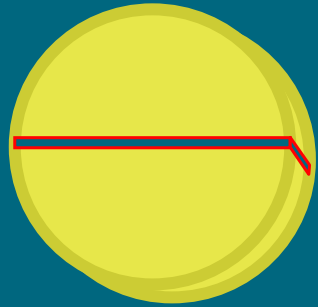
**without BE study!**



# **BCS: dissolution testing as a proxy for BE**

**BCS = Biopharmaceutical Classification System**





**Test**

**bioequivalent??**

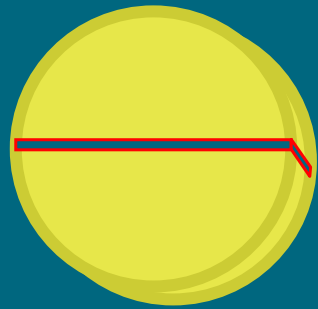


**Reference**

**BE study**



# BCS: mechanistic analysis of causes of bioINequivalence

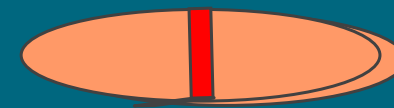


Test

bioequivalent??



what happens in  
the body?



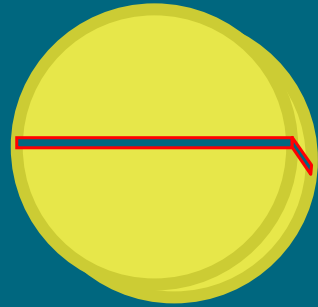
Reference



- **BE = comparison of Bioavailability (BA)**
- **of Active Pharmaceutical Ingredient (API)**  
**of Test and Reference**
  
- **BA depends on a cascade of process**



# BA:



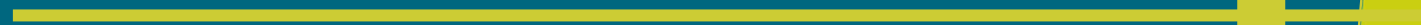
- dissolution *in-vivo*



**API dissolved**

- permeability
- metabolism in GI wall

**GI WALL**



- metabolism
- excretion



**API absorbed**



**API eliminated**





- **BA influenced by:**
  - dissolution *in-vivo*
  - permeability
  - metabolism in GI wall
  - metabolism
  - excretion



**but in BE:**

**Test and Reference contain the same API!!**

- **metabolism in GI wall**
- **metabolism**
- **excretion**

**can be expected to be the same in  
Test & Reference !!**



## in BE:

### Test

- dissolution *in-vivo*
- permeability

- metabolism in GI- wall
- metabolism
- excretion

### Reference

- dissolution *in-vivo*
- permeability

- metabolism in GI-wall
- metabolism
- excretion

**The same for same API**



bio**I**nequivalence can only be caused by:

- **difference in formulation** between Test & Reference

in:

- **dissolution *in-vivo*** between formulations
- **permeability of API** between formulations



## **difference in permeability** between formulations:

- unlikely for high permeable API
- could only be caused by excipient interaction
- can be excluded for well known excipients
- unlikely for IR tablets



When differences in permeability can be excluded:

bio**I**nequivalence can only be caused by a difference in dissolution *in-vivo*:



When differences in permeability can be excluded:

**bioIN**equivalence can only be caused by a difference in dissolution *in-vivo*:

- **predictable by comparative dissolution testing *in-vitro***
- **in physiological media**



## BCS:

Class	Solubility	Permeability
I	High	High
II	Low	High
III	High	Low
IV	Low	Low





## BCS:

- when:
- **solubility of API is high**
  - i.e. not critical
- **permeability of API is high**
  - i.e. not critical
  - (= BCS Class I )
- **comparative dissolution testing**
  - is a reliable proxy for BE



# The FDA regulations on biowaivers



# FDA

- **FDA Guidance for Industry, Aug 2002: Waiver of In Vivo BA and BE Studies for OR Solid Oral Dosage Forms based on a BCS System**
  - “biowaiving” = comparative dissolution testing as a acceptable proxy for a BE
- **valid for generic registration and variation within product**



## FDA

- **waiver of BE study only for BCS Class I**
  - **high permeability: absorption > 90%**
  - **highest dose soluble in < 250 ml pH 1 - 7.5**
  - **dissolution in-vitro > 85% in 30 min in:**
    - 900 ml 0.1 N HCl
    - 900 ml pH 4.5
    - 900 ml pH 6.8
  - **f2 dissolution profile similarity Test versus Reference, unless both dissolve > 85% in 15 min**

# FDA

- **dissolution profile similarity: f2 Test**  
(unless both dissolve > 85% in 15 min)
- **test contains only well established excipients**
- **API not drug with narrow therapeutic window**



# FDA

- **Extensions under discussion by FDA**
  - **biowaiver also possible for fast-dissolving Class III**
  - **highest dose soluble in < 500 ml pH 1 - 7.5**
  - **not need for in-vitro dissolution testing in all three media**



# Regulations: EU



## EU

- **Note for Guidance on Investigation of BA and BE, EMEA 2001**
- **valid for generic registration and variation within product**





## EU

- BCS is not mentioned
- but concept is adopted:
  - A BE study .....
  - may be waived ..
  - provided that the API is ..... highly soluble.....
  - expect that it will not cause any BA problems...
- Provisions:



## EU

- no known risk of therapeutic failure by BA/BE
- no history of BA-problems or bioequivalence
- highest dose soluble in 250 ml pH 1.0/ 4.6/ 6.8
- linear and complete absorption indicating high permeability
- contains only well established excipients
- no critical manufacture

## EU

- **Variations:** Type I = “small variations”
- The concept of “biowaiving” is accepted in Type I



## For instance:

- **Type I, no. 18 Replacement of an excipient with a comparable excipient**
  - **Conditions:**
    - **Same functional characteristics of the excipient.**
    - **The dissolution profile of the new product determined on a minimum of two pilot scale batches is comparable to the old one**



- **Documentation needed for Type I, no. 18::**
- **Comparative dissolution profile data of at least two pilot scale batches of the finished product in the new and old composition**
- **Justification for not submitting a new bioequivalence study according to the current *NfG on BA/BE*.**



# Risk assessment of biowaiving



## Risk analysis

- Biowaiving remains a proxy
- High assurance of BE, but never 100.00%

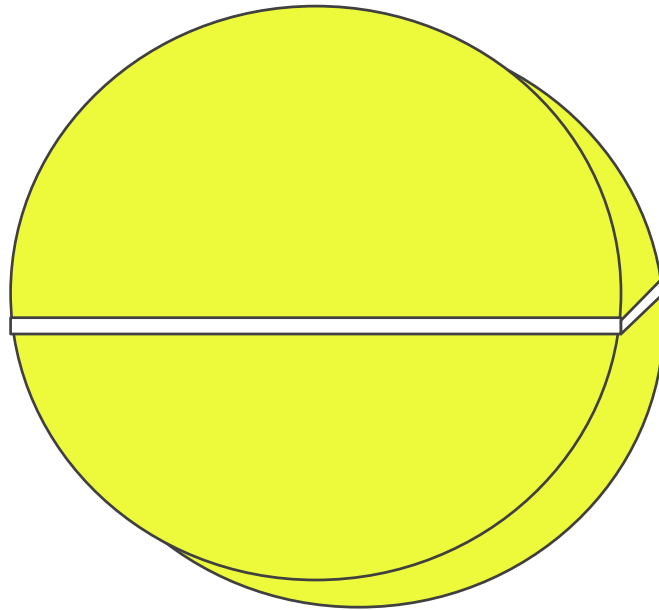


no biowaiving of digoxine

- A BE study involves administration of the test product to humans
- Biowaiver will release medicines that were never administered to humans!

# Example

## Propranolol HCl generic IR tablet



- Propranolol HCl is BCS Class I
- Generic tablet meets comparison dissolution criteria versus reference product

39

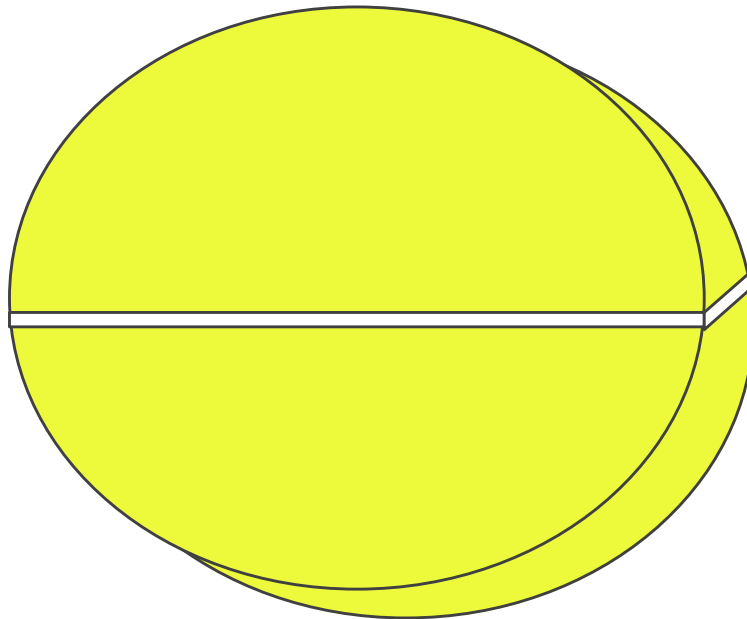
rivm



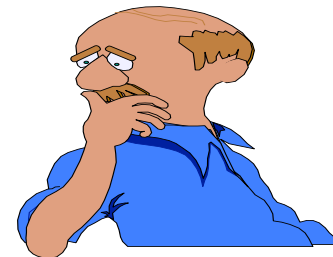


# Tablet has 1 meter diameter!

Propranolol HCl generic IR tablet



Patient



But its administration is problematic!

40

rivm



## Conclusion

- Comparative dissolution testing
  - as per BCS
- is a very good proxy for BE
  - for BCS Class I API`s (and III)?
- But remains a proxy!
- **Do not think to “regulatory = burocratic!”**



## Conclusion

- Answer two question to yourself:
  - Would I swallow the biowaived drug myself?
  - Would I give it to my children?



**Thank you for  
your attention!**

